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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cox et al.

Appl. No. 09/942,919

Filed: August 31, 2001

Commissioner for Patents Washington, D.C. 20231

For: **Endoluminal Prostheses and** Therapies for Highly Variable

DEC 0 6 2001

Body Lumens

Confirmation No. 9761

Art Unit:

3738

Examiner:

To Be Assigned

Atty. Docket: 1737.1460008/LEA/DTJ

Supplemental Information Disclosure Statemen

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DEC 1 2 2001

OFFICE OF PETITIONS

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on November 19, 2001 in connection with the above-captioned application. A copy of each document is also provided.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Dustin T. Johnson Agent for Applicant Registration No. 47,684

Date: December 6, 2001

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| | | | | | ATTY. DOCKET NO. APPLICATION NO. 09/942,919 | | | | |
| | | | PTO-1449 SCLOSURE STAT | DEC 0 6 2001 | APPLICANT E//Cox et al. | | ************************************** | | |
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| INITIAL | | DOCL | MENT NUMBER | DATE | NAME | CLA | ASS 🕢 | SUB-CLASS | FILING DATE |
| | AA7 | 5,35 | 4,308 | 10/1994 | Simon et al. | | | | |
| | AB7 | 5,36 | 0,443 | 11/1994 | Barone et al. | <u> </u> | D: | | |
| | AC7 | 5,38 | 3,928 | 01/1995 | Scott et al. | 15, | | 10 000 000 000 000 000 000 000 000 000 | <i>7</i> . |
| | AD7 | 5,42 | 5,767 | 06/1995 | Steininger et al. | | S.C. | .0 | |
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| | AK7 | 5,65 | 3,747 | <u> </u> | GN PATENT DOCUMENTS | | | | <u> </u> |
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| INITIAL | | DOCU | MENT NUMBER | DATE | COUNTRY | CLA | ss | SUB-CLASS | TRANSLATION |
| | AL7 | 0 68 | 6 379 B1 | 12/1995 | Europe | | | | Yes No |
| | AM7 | WO 9 | 5/32688 | 12/1995 | РСТ | | | | Abstract X Yes |
| | AN7 | WO 9 | 7/07751 | 03/1997 | PCT | | | | Yes No |
| | A07 | | | | | | · | | Yes No |
| | AP7 | | | | | | | | Yes No |
| | | | OTHER (| Including Author | , Title, Date, Pertinent | Pages, etc.) |) | | |
| | . AR | Z | | ., A Color Atlas Company; Philade | of Endovascular Surgery. lphia (1990). | ch. 7 "Intra | | r Stents" pp | o. 83-87; J.B. |
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FORM PTO-1449

ATTY. DOCKET NO. P513 DIV1 (1737.1460008/LEA/DTJ)

APPLICATION NO. 09/942,919

APPLICANT Cox et al.

INFORMATION DISCLOSURE STATEMENT FILING DATE August 31, 2001 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB-CLASS | FILING DATE 5,693,088 8AA 12/1997 Lazarus AB8 5,716,410 02/1998 Wang et al. AC8 5,723,004 03/1998 Dereume et al. AD8 5,735,290 04/1998 Sterman et al. AE8 5,769,885 06/1998 Quiachon et al. AF8 AG8 8HA 81A AJ8 AK8 FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER COUNTRY CLASS SUB-CLASS TRANSLATION DATE INITIAL Yes AL8 No Yes 8MA No Yes AN8 No Yes 80A No Yes OTHER (Including Author, Title, Date, Pertinent Pages, etc.) **RECEIVED** AR <u>8</u>

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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December 6, 2001

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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

WRITER'S DIRECT NUMBER: (202) 312-7258

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DEC 1 2 2001

OFFICE OF PETITIONS Art Unit 3738

Commissioner for Patents Washington, D.C. 20231

Re: U.S. Utility Patent Application

Appl. No. 09/942,919; Filed: August 31, 2001

For: Endoluminal Prostheses and Therapies for Highly Variable Body

Lumens

Inventors: Cox et al.

Our Ref: P513 DIV1 (1737.1460008/LEA/DTJ)

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Supplemental Information Disclosure Statement (in duplicate);
- 2. Form PTO-1449 citing twenty (20) references (AA7-AN7, AR7, and AA8-AE8);
- 3. A copy of each of the twenty (20) cited references (AA7-AN7, AR7, and AA8-AE8) and;
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Commissioner for Patents December 6, 2001 Page 2

hereby petitioned.

1

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Dustin T. Johnson Agent for Applicants Registration No. 47,684

Enclosures LEA/DTJ/drb

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